



Joseph McDermott Joins RMB Capital as Chief Compliance Officer

CHICAGO - November 10, 2022 –RMB Capital (“RMB”), an independent investment advisory firm with more than \$8.7 billion in assets under management¹ (estimated as of Sept. 30, 2022), announced that Joseph McDermott, CFA®, FMVA®, FRM®, has joined the firm as chief compliance officer. McDermott will lead all regulatory compliance and risk management programs across the firm and will be based at RMB’s Chicago headquarters.

“Joe’s extensive compliance experience across a diverse group of financial companies speaks for itself, and he will make a great addition to our senior leadership team,” said Dick Burrige, founding partner and CEO of RMB. “He is highly regarded as a leader of integrity and mentor of both teams and individual colleagues at the organizations where he works and is perfectly aligned with our company culture and values.”

“I chose to join RMB because of its solid reputation, both from a market and compliance perspective,” McDermott said. “RMB is a multifaceted firm that brings together different investment activities that I’ve had experience working with over my career. I’m looking forward to helping the firm meet its future growth targets and taking its compliance program to the next level.”

McDermott has 29 years of financial industry experience, 26 of them in the compliance field. Prior to joining RMB, he was managing director for Alaric Compliance Services, a compliance consulting firm for the financial services industry. Before that, he served as chief compliance officer for THL Credit Senior Loan Fund, Aviva Investors Americas, and Keeley Asset Management Corporation. From 2018-2021, he also served on the board of directors for the National Society of Compliance Professionals, where he was chair of the organization’s Conference Committee.

He is a Chartered Financial Analyst®, holds a Financial Modeling & Valuation Analyst (FMVA)® certification from the Corporate Finance Institute® as well as a Financial Risk Manager® certification.

¹ Total assets under management (“AUM”) presented here differs from regulatory assets under management (“RAUM”) reported on RMB Capital’s Form ADV. AUM reflects net assets under management for private funds and mutual funds managed by RMB Capital, while RAUM reflects gross assets under management. AUM also includes RMB West’s assets under advisement, which are not included in RMB Capital’s RAUM. AUM estimated as of 9/30/22.

Page 2: Joseph McDermott Joins RMB Capital as Chief Compliance Officer

McDermott also holds an MBA in finance from DePaul University's Kellstadt Graduate School of Business and a bachelor's degree in political science from Loras College.

CFA® and Chartered Financial Analyst® are registered trademarks owned by CFA Institute. Financial Modeling & Valuation Analyst (FMVA) is a trademark owned by the Corporate Finance Institute. FRM® (or Certified FRM®) are trademarks owned by the Global Association of Risk Professionals.

ABOUT RMB CAPITAL

RMB Capital is an independent investment advisory firm. Its businesses include wealth management, family office services, asset management, and retirement plan consulting. Headquartered in Chicago, RMB also has offices in Denver; Jackson Hole, Wyo.; Lake Forest, Ill.; Milwaukee; Minneapolis; St. Joseph, Mich.; and Washington D.C. To learn more about RMB, visit rmbcapital.com.

MEDIA CONTACT:

Shawn Malayter
Senior Associate
Greenhouse Partners
T | 872-267-2636
E | smalayter@greenhousepartners.com

RMB CONTACT:

Amber Hickory
Chief Marketing Officer
RMB Capital
T | 303-641-2637
E | ahickory@rmbcap.com
