



## **RMB Capital's James Rosselle Named to 2020 Financial Times 401 Top Retirement Advisers**

*Retirement Plan Solutions Team Receives Third National Honor This Year*

**CHICAGO- Oct. 22, 2020** – RMB Capital ("RMB"), an independent investment advisory firm with more than \$8.8 billion in assets under management<sup>1</sup> (estimated as of September 30, 2020), announced that James Rosselle, vice president and director of RMB Retirement Plan Solutions, was recently named to the 2020 edition of the *Financial Times* 401 Top Retirement Advisers. The list recognizes the top financial advisers who specialize in serving defined contribution ("DC") retirement plans across the United States.

This is the sixth annual FT 401 list, produced independently by Ignites Research, a division of Money-Media, Inc., on behalf of the *Financial Times*. Ignites Research provides business intelligence on investment management.

"We're very excited to see James and his team being recognized by a prestigious publication like the *Financial Times* for the work they do in bringing holistic, fiduciary-driven solutions to retirement plan sponsors in order to help support their employees' long-term financial wellbeing," said Dick Burrige, RMB founding partner, CEO and co-chief investment officer.

Financial advisers from across the U.S. applied for consideration, having met a set minimum of requirements. The applicants were then graded on six criteria: DC assets under advisement (AUA); DC AUA growth rate; specialization in DC plans; years of experience; advanced industry credentials; and compliance record. There are no fees or other considerations required of advisers who apply for the FT 401.

The final FT 401 represents an impressive cohort of elite advisers. The median DC plan assets administered by this year's group is \$800 million. These are true specialists as DC plans on average account for 86% of total client assets. The FT 401 advisers represent 41 states and Washington, D.C.

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<sup>1</sup> Total assets under management ("AUM") presented here differs from regulatory assets under management ("RAUM") reported on RMB Capital's Form ADV. AUM reflects net assets under management for private funds and mutual funds managed by RMB Capital, while RAUM reflects gross assets under management. AUM also includes RMB West's assets under advisement, which are not included in RMB Capital's RAUM. AUM estimated as of 9/30/20.

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The FT 401 is one in a series of rankings of top advisers developed by the FT in partnership with Ignites Research, including the FT 300 (independent RIA firms), which included RMB Capital in 2020 for the fourth year in a row.

This is the third national honor for RMB Retirement Plan Solutions in 2020. Earlier this year, Rosselle was named to the PLANADVISER Top 100. In addition, RMB was included on the list of the nation's top defined contribution teams by the National Association of Plan Advisors (NAPA).

RMB Retirement Plan Solutions examines all aspects of an organization's retirement plan, from plan design and administration to total plan costs. The offering focuses on serving as a fiduciary safeguard, enhancing the participant experience, conducting benchmarking and RFP vendor searches, and performing investment due diligence.

*The Financial Times 401 Top Retirement Advisers is an independent listing produced annually by Ignites Research, a division of Money-Media, Inc., on behalf of the Financial Times (October 2020). The FT 401 is based on data gathered from advisors, regulatory disclosures, and the FT's research. The listing reflects each advisor's status in six primary areas: DC plan assets under advisement (AUA), DC AUA growth rate, specialization in DC plans, years of experience, advanced industry credentials and compliance record. A total of 855 advisors applied for the award, of which 401 were selected (47%). This award is not indicative of the advisor's future performance. Neither the advisors nor their parent firms pay a fee to the Financial Times in exchange for inclusion in the FT 401.*

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### ABOUT RMB RETIREMENT PLAN SOLUTIONS

RMB Retirement Plan Solutions focuses on driving measurable improvements across all aspects of an organization's retirement plan in order to optimize outcomes for the firm, its employees, and its executives. RMB serves as a co-fiduciary, taking a holistic, impartial, disciplined approach to drive results.

### ABOUT RMB CAPITAL

RMB Capital is an independent investment advisory firm. Its businesses include wealth management, family office services, asset management, and retirement plan consulting. Headquartered in Chicago, RMB also has offices in Denver; Jackson Hole, Wyo.; Lake Forest, Ill.; Milwaukee; Minneapolis; New York; St. Joseph, Mich.; and Washington D.C. To learn more about RMB, visit [rmbcapital.com](http://rmbcapital.com).

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